



# 3i0-008<sup>Q&As</sup>

## ACI DEALING CERTIFICATE

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#### QUESTION 1

Click on the Detail Button to view the Formula Sheet. Purchasing a USD/JPY call option is equivalent to:

- A. Selling an JPY/USD put option
- B. Selling a JPY/USD call option
- C. Purchasing an JPY/USD put option
- D. None of the above

Correct Answer: C

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#### QUESTION 2

Click on the Detail Button to view the Formula Sheet. Selling a FRA is the same as:

- A. Making a forward-forward loan
- B. Going over-borrowed
- C. Opening a positive gap
- D. All of the above

Correct Answer: A

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#### QUESTION 3

Click on the Detail Button to view the Formula Sheet. Are the forward points materially affected by changes in the spot rate?

- A. never
- B. Only for very large movements and longer terms
- C. always
- D. spot is the principal influence

Correct Answer: B

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#### QUESTION 4

Click on the Detail Button to view the Formula Sheet. Which of the following would not constitute an event of market disruption under the Model Code?

- A. The imposition of capital controls.



- B. A major terrorist attack on a financial centre.
- C. The failure of SWIFT.
- D. Concerted central bank intervention.

Correct Answer: D

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#### QUESTION 5

Click on the Detail Button to view the Formula Sheet. What is the risk of dealing through an agent with an unknown principal?

- A. You may not be able to ensure that your firm can avoid suspicion of trading on non-public information or other allegations of bad or illegal trading practice.
- B. You may not be able to net your exposure in an insolvency.
- C. You may not be able to net your exposure for capital adequacy purposes.
- D. All of the above.

Correct Answer: D

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